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NOTE ON BOUNDARY STABILIZATION OF WAVE EQUATIONS 1

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Abstract. An energy decay rate is obtained for solutions of wave type equations in a bounded region in R<sup>n</sup> whose boundary consists partly of a nontrapping reflecting surface and partly of an energy absorbing surface. Unlike most previous results on this problem, the results presented here are valid for regions having connected boundaries.

**Key words.** Wave equations, boundary stabilization, exponential stability.

Let  $\Omega$  be a bounded, open ,connected set in  $\mathbb{R}^n$  (n\gamma2) and  $\Gamma$  denote its boundary. Assume that  $\Gamma$  is piecewise smooth and consists of two parts,  $\Gamma_0$  and  $\Gamma_1$ , with  $\Gamma_1 \neq \emptyset$  and relatively open in  $\Gamma$ , and  $\Gamma_0$  either empty or having a non-empty interior. We set  $\Sigma_0 = \Gamma_0 \times (0,\infty)$ ,  $\Sigma_1 = \Gamma_1 \times (0,\infty)$ . Let k be an  $L^\infty(\Gamma_1)$  function satisfying  $k(x)\geq 0$  almost everywhere on  $\Gamma_1$ . Consider the problem

(1) 
$$w'' - \Delta w = 0 \quad \text{in } \Omega \times (0, \infty),$$

(2) 
$$\partial w/\partial v = -kw'$$
 on  $\Sigma_1$ ,  $w = 0$  on  $\Sigma_0$ .

(3) 
$$w(0) = w^{0}, \quad w'(0) = w^{1} \quad \text{in } \Omega$$

where '=d/dt and  $\nu$  is the unit normal of  $\Gamma$  pointing towards the exterior of  $\Omega$ .

Associated with each solution of (1.1) is its total <u>energy</u> at time t:

$$E(t) = \frac{1}{2} \int_{\Omega} (w^{2} + |\nabla w|^{2}) dx.$$

A simple calculation shows that

$$E'(t) = -\int_{\Gamma_1} kw^2 d\Gamma \le 0.$$

hence E(t) is nonincreasing. The question of interest for us is the following: Under what conditions is it true that there is an <u>exponential</u> decay rate for E(t), i.e.,

(4) 
$$E(t) \leq Ce^{-\omega t}E(0), \qquad t \geq 0$$

for some positive  $\omega$ .

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The first person to establish (4) for solutions of (1)-(3) was C.

Chen [1], under the following assumptions:  $k(x) \ge k_0 > 0$  on  $\Gamma_1$ , and there is a point  $x_0 \in \mathbb{R}^n$  such that

$$(5) (x-x_0) \cdot \nu \leq 0, \quad x \in \Gamma_0.$$

(6) 
$$(x \rightarrow x_0) \cdot v \geq \tau > 0, \quad x \in \Gamma_1.$$

Chen slightly relaxed (5) and (6) in a later paper [2]. The most general result to date in terms of the assumed geometrical conditions on  $\Gamma$  appears in [5]. There it is proved that (4) is valid provided there exists a vector field  $h(x)=[h_1(x),\cdots,h_n(x)]\in C^2(\Omega)$  such that

(7) 
$$h \cdot v \leq 0 \quad \text{on} \quad \Gamma_{0}.$$

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(8) 
$$h \cdot v \geq \tau > 0 \quad \text{on} \quad \Gamma_1.$$

(9) the matrix  $(\partial h_i/\partial x_j + \partial h_j/\partial x_i)$  is positive definite on  $\Omega$ . This last result has subsequently been reproved by Lasiecka-Triggiani [7] and Triggiani [9] using methods different from those in [5]. In all of the papers cited, the estimate (4) was obtained from estimates on  $\int_0^\infty E(t)dt$  by employing a result of Datko [3] (later extended by Pazy [8]). Thus in all cases the constants C and  $\omega$  are not given explicitly in terms of problem data.

An important observation is that when  $\Gamma$  is smooth, the conditions (5) and (6) (resp., (7) and (8)) together force  $\Gamma_0 \cap \Gamma_1 = \emptyset$ . Thus if  $\Gamma_0 \neq \emptyset$ , the above results cannot apply to regions  $\Omega$  having a connected boundary. However, in a recent paper [4], Kormornik and Zuazua succeeded in relaxing condition (6) of Chen to

(10) 
$$(x \rightarrow x_0) \cdot v \ge 0 \quad \text{on } \Gamma_1$$

thus allowing for regions with smooth connected boundaries, but at the expense of replacing the boundary condition (2a) by

(11) 
$$\partial w/\partial v = -((x-x_0) \cdot v)w' \quad \text{on } \Sigma_1.$$

In addition, the proof in [4] gives explicit estimates of the constants C and  $\omega$  in (4) in terms of the geometry of  $\Omega$ , more specifically, in terms of the constants  $\mu_0$  and  $\mu_1$  which appear in (16), (17) below.

The purpose of this paper is to extend the result of [4] in two ways: first, by replacing the specific vector field  $\mathbf{x} - \mathbf{x}_0$  in (5) and (10) by a general vector field  $\mathbf{h}(\mathbf{x})$  satisfying (7), (9), and

(12) 
$$h \cdot \nu \geq 0 \quad \text{on } \Gamma_1,$$

and, second, by replacing the boundary condition (11) by

(13) 
$$\partial w/\partial v = -k^{\frac{1}{2}}(h \cdot v)w' \quad \text{on } \Sigma$$

where  $k \in L^{\infty}(\Gamma_1)$  satisfies  $k \not \geq k_0 > 0$  on  $\Gamma_1$ . Note that if  $h \cdot \nu \geq \gamma > 0$  on  $\Gamma_1$ , the boundary condition (2a) may be written as (13) with  $k \not = k/(h \cdot \nu)$ . Hence, in this situation, we recover (a sharpened form of) the main result of [5] (see Theorem below). Also, as in [4], we will obtain explicit estimates on the constants C and  $\omega$  in (4) in terms on constants associated with the geometry of  $\Omega$ , the gain  $k \not = k$  and the vector field h.

The formal statements of the two results to be proved are as follows. THEOREM. Let w be a regular solution to (1), (2b) and (13). Then there is a constant  $\omega$  (which may be explicitly estimated) such that

$$\int_{0}^{\infty} E(s)ds \le (1/\omega)E(0),$$

$$\int_{t}^{\infty} E(s)ds \le e^{-\omega t} \int_{0}^{\infty} E(s)ds, \quad t \ge 0.$$

COROLLARY. Under the hypotheses of the Theorem,

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$$E(t) \le e \cdot e^{-\omega t} E(0), \quad t \ge 1/\omega.$$

Remark 1. If the initial data (3) satisfies  $w^0 \in H^1(\Omega)$ ,  $w^1 \in L^2(\Omega)$ , w=0 on  $\Gamma_0$ , it is well known that (1)-(3) has a unique weak solution such that  $(w,w') \in C([0,\infty); H^1(\Omega) \times L^2(\Omega))$ , w=0 on  $\Sigma_0$  in the sense of traces, and  $k^{1/2}w' \in L^2(0,T; L^2(\Gamma_1))$ , for every T>0. The proof of Theorem requires

additional regularity of w. namely  $(w,w')\in C([0,\infty);H^2(\Omega)\times H^1(\Omega))$ . When  $\Gamma_0\cap \Gamma_1\neq \emptyset$ , this latter requirement may not be satisfied even for smooth data and boundary since singularities may develop at points on  $\Gamma_0\cap \Gamma_1$ . On the other hand, when  $\Gamma_0\cap \Gamma_1=\emptyset$  the solution will always possess the necessary regularity if  $w^0\in H^2(\Omega)$ ,  $w^1\in H^1(\Omega)$ ,  $w^0=0$  on  $\Gamma_0$ ,  $\partial w^0/\partial \nu+kw^1=0$  on  $\Gamma_1$ . Remark 2. The Theorem and Corollary may be extended to generalized wave equations with time independent coefficients as in [5] but under the weaker condition (12) and also to linear elastodynamic systems (cf. p. 167 of [5] and also [6]). We omit details.

Proof of Corollary. Since E(t) is nonincreasing, for every  $\tau > 0$ 

$$\tau E(t+\tau) \leq \int_{t}^{\infty} E(s) ds \leq (1/\omega) e^{-\omega t} E(0).$$

or

(14) 
$$E(t+\tau) \leq (e^{\omega \tau}/\omega \tau)e^{-\omega(t+\tau)}E(0), \quad \tau > 0.$$

The first factor on the right has its minimum at  $\tau=1/\omega$  and for this value of  $\tau$  (14) becomes

$$E(t+1/\omega) \le e \cdot e^{-\omega(t+1/\omega)}E(0), \quad t \ge 0.$$

<u>Proof of Theorem</u>. We assume that  $\Gamma_0 \neq \phi$ . The argument may easily be modified to handle the opposite case as in [5] or [9].

Define the matrix  $H=(\partial h_i/\partial x_j + \partial h_j/\partial x_i)$ . By assumption we have

(15)  $H\xi \cdot \xi \geq h_0 |\xi|^2, \quad \xi \in \mathbb{R}^n, \ x \in \Omega, \ h_0>0.$ 

Since multiplication of h by a positive constant leaves  $\Gamma_0$  and  $\Gamma_1$  invariant, we may (and do) assume that  $h_0=1$  in (15).

Define constants  $\mu_0$  and  $\mu_1$  by

(17) 
$$\int_{\Omega} v^2 dx \le \mu_1 \int_{\Omega} |\nabla v|^2 dx$$

for all  $v \in H^1(\Omega)$  such that v = 0 on  $I_{\Omega}$ . For  $\epsilon > 0$  and fixed, define

$$F_{\mu}(t) = E(t) + \epsilon \rho(t)$$

where

$$\rho(t) = 2(w', h \cdot \nabla w) + ((h_{j,j} - 1)w, w').$$

We note that

$$|\rho(t)| \leq C_0 E(t)$$
.

hence

(18) 
$$(1-\epsilon C_0)E(t) \leq F_{\epsilon}(t) \leq (1+\epsilon C_0)E(t)$$

where  $C_0$  depends on h and  $\mu_1$ . We will show that for  $\epsilon$  sufficiently small,

(19) 
$$F'_{\epsilon}(t) \leq -\epsilon E(t) + C\epsilon \int_{\Omega} w^2 dx$$

where C depends on h,  $\mu_0$  and  $\mu_1$  .

One has

(20) 
$$\rho'(t) = 2(w'', h \cdot \nabla w) + 2(w', h \cdot \nabla w') + ((h_{j,j} - 1)w', w') + ((h_{j,j} - 1)w, w'').$$

From (1), (2) we have

(21) 
$$(\mathbf{w}'',\mathbf{v}) + (\nabla \mathbf{w},\nabla \mathbf{v}) + b(\mathbf{w}',\mathbf{v}) - \int_{\Gamma_0} (\partial \mathbf{w}/\partial v) \mathbf{v} d\Gamma = 0$$

for every  $v \in H^1(\Omega)$ , where

$$b(w',v) = \int_{\Gamma_1} k^{*}(h \cdot v) w' v d\Gamma.$$

We use (21) to calculate  $(w'',h\cdot\nabla w)$  and ((h,j,j-1)w,w'') in (20). One has

(22) 
$$(\mathbf{w}'', \mathbf{h} \cdot \nabla \mathbf{w}) = -(\nabla \mathbf{w}, \nabla (\mathbf{h} \cdot \nabla \mathbf{w})) - \mathbf{b}(\mathbf{w}', \mathbf{h} \cdot \nabla \mathbf{w}) + \int_{\Gamma_0} (\partial \mathbf{w}/\partial \nu) \mathbf{h} \cdot \nabla \mathbf{w} d\Gamma.$$

A direct calculation gives

(23) 
$$(\nabla \mathbf{w}, \nabla (\mathbf{h} \cdot \nabla \mathbf{w})) = \int_{\Omega} \mathbf{h}_{i,j} \mathbf{w}_{i} \mathbf{w}_{j} dx - (1/2) \int_{\Omega} \mathbf{h}_{j,j} |\nabla \mathbf{w}|^{2} dx + (1/2) \int_{\Gamma} \mathbf{h} \cdot \mathbf{v} |\nabla \mathbf{w}|^{2} d\Gamma.$$

Similarly,

(24) 
$$((h_{j,j}-1)w,w'') = -\int_{\Omega} (h_{j,j}-1) |\nabla w|^2 dx - \int_{\Omega} h_{j,ij}ww_i dx - b(w',(h_{i,j}-1)w).$$

We also have

(25) 
$$(w', h \cdot \nabla w') = (1/2) \int_{\Gamma_1} (h \cdot v) w'^2 d\Gamma - (1/2) \int_{\Omega} h_{j,j} w'^2 dx.$$

Use of (22) - (25) in (20) gives

(26) 
$$\rho'(t) = -2\int_{\Omega} h_{i,j} w_{i} w_{j} dx + \int_{\Omega} |\nabla w|^{2} dx - \int_{\Omega} w^{2} dx - \int_{\Omega} h_{j,ij} w_{i} dx - \int_{\Gamma} (h \cdot v) |\nabla w|^{2} d\Gamma + 2\int_{\Gamma_{0}} (\partial w/\partial v) h \cdot \nabla w d\Gamma + \int_{\Gamma_{1}} (h \cdot v) w^{2} d\Gamma - 2b(w', h \cdot \nabla w) - b(w', (h_{j,j}-1)w).$$

The integrals over  $\Gamma_0$ , viz.

$$(27) \qquad 2\int_{\Gamma_{0}} (\partial w/\partial v) h \cdot \nabla w d\Gamma - \int_{\Gamma_{0}} h \cdot v |\nabla w|^{2} d\Gamma = \int_{\Gamma_{0}} h \cdot v (\partial w/\partial v)^{2} d\Gamma \le 0.$$

We also have the estimates

(28) 
$$|b(\mathbf{w}', \mathbf{h} \cdot \nabla \mathbf{w})| = |\int_{\Gamma_1} \mathbf{k}^{\mathbf{w}} (\mathbf{h} \cdot \mathbf{v}) \mathbf{w}' (\mathbf{h} \cdot \nabla \mathbf{w}) d\Gamma |$$

$$\leq \int_{\Gamma_1} \mathbf{h} \cdot \mathbf{v} |\nabla \mathbf{w}|^2 d\Gamma + C_1 \int_{\Gamma_1} (\mathbf{h} \cdot \mathbf{v}) \mathbf{w}'^2 d\Gamma,$$

$$(29) |b(w', (h_{j,j}-1)w)| \leq C_2/(2\delta) \int_{\Gamma_1} (h \cdot v) w'^2 d\Gamma + (\delta/2) \int_{\Omega} |\nabla w|^2 dx,$$

(30) 
$$|\int_{\Omega} h_{1,11} w w_1 dx| \leq C_3 / (2\delta) \int_{\Omega} w^2 dx + (\delta/2) \mu_1 \int |\nabla w|^2 dx$$

where  $C_1$ ,  $C_2$  depend on h and  $k^{\bowtie}$ ,  $C_3$  on h and where  $\delta>0$  will be chosen

below. Use of (27) - (30) and (15) ( recall that  $h_0=1$ ) in (26) yields

$$\begin{split} \rho'(t) & \leq -\!\!\!\int_{\Omega} \left(w^{,2}\!\!+ \left| \nabla w \right|^2 \right) \! \mathrm{d}x \, + \, \left(\delta/2\right) \! \left(\mu_0\!\!+\!\!\mu_1\right) \!\!\!\int_{\Omega} \left| \nabla w \right|^2 \! \mathrm{d}x \, + \\ & \left(C_1\!\!+\!\!C_2/(2\delta) \, + \, 1\right) \!\!\!\int_{\Gamma_1} \left(h\!\cdot\!\nu\right) \! w^{,2} \! \mathrm{d}\Gamma \, + \, C_3/(2\delta) \!\!\!\int_{\Omega} \left.w^2 \! \mathrm{d}x \right. \end{split}$$

Choosing  $\delta = 1/(\mu_0 + \mu_1)$  we obtain

(31) 
$$\rho'(t) \leq -\mathbb{E}(t) + C_4 \int_{\Gamma_1} (h \cdot \nu) w^2 dx + C_5 \int_{\Omega} w^2 dx$$

where  $C_4 = C_1 + C_2/(2\delta) + 1$ ,  $C_5 = C_3/(2\delta)$ . Since  $k^* \ge k_0 > 0$  on  $\Gamma_1$ , we obtain from (31)

$$\begin{split} F_{\epsilon}'(t) &= E'(t) + \epsilon \rho'(t) \\ &= -\int_{\Gamma_1} k^{*}(h \cdot \nu) w^{2} d\Gamma + \epsilon \rho'(t) \\ &\leq -\epsilon E(t) + \epsilon C_{5} \int_{\Omega} w^{2} dx + \int_{\Gamma_1} (\epsilon C_{4} - k_{0}) (h \cdot \nu) w^{2} d\Gamma \\ &\leq -\epsilon E(t) + \epsilon C_{5} \int_{\Omega} w^{2} dx \end{split}$$

provided  $\epsilon C_4 \le k_0$ . This establishes (19).

Let β>0 and consider

(32) 
$$\int_{t}^{\infty} e^{-\beta(s-t)} F_{\epsilon}'(s) ds = -F_{\epsilon}(t) + \beta \int_{t}^{\infty} e^{-\beta(s-t)} F_{\epsilon}(s) ds$$

$$\leq -\epsilon \int_{t}^{\infty} e^{-\beta(s-t)} E(s) ds + \epsilon C_{5} \int_{t}^{\infty} e^{-\beta(s-t)} |w(\cdot,s)|^{2} ds.$$

From (18),  $F_{\epsilon}(s)\geq 0$  provided  $\epsilon C_0 \leq 1$ . From Theorem 2 of [5], we have the estimate

(33) 
$$\int_{\cdot}^{\infty} e^{-\beta(s-t)} |w(\cdot,s)|^2 ds \le C_{\eta}^{\times} E(t) + \eta \int_{\cdot}^{\infty} e^{-\beta(t-s)} E(s) ds$$

where  $\eta>0$  is arbitrary and  $C_{\eta}^{\bowtie}$  is a constant independent of  $\beta$ . Therefore (32), (33) imply

(34) 
$$\epsilon \int_{t}^{\infty} e^{-\beta(s-t)} E(s) ds \leq F_{\epsilon}(t) + \epsilon C_{5} [C_{\eta}^{*} E(t) + \eta \int_{t}^{\infty} e^{-\beta(s-t)} E(s) ds]$$

where  $\epsilon = \min(1/C_0, k_0/C_4)$ . Choosing  $\eta = 1/qC_5$  (q>1) in (34) gives the estimate

(35) 
$$\frac{(q-1)\epsilon}{q} \int_{-\epsilon}^{\infty} e^{-\beta(s-t)} E(s) ds \leq F_{\epsilon}(t) + \epsilon C_5 C_{1/q}^{*} E(t) \leq (1+\epsilon K_q) E(t)$$

where  $K_q = C_0 + C_5 C_{1/q}^{*}$  does not depend on  $\beta$ . Define  $\omega_q = (q-1)\epsilon/q(1+\epsilon K_q)$  and let  $\beta$ =0 in (35) to obtain

(36) 
$$\int_{t}^{\infty} E(s)ds \le (1/\omega_{q})E(t), \quad t \ge 0, \quad q > 1.$$

The conclusions of the Theorem with  $\omega=\omega_2=\epsilon/2(1+\epsilon K_2)$  (for example) follow easily from (36).

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